

Modelling and Decision Support for Integrated Climate Change Impact and Vulnerability Assessment

Tony Jakeman, Carmel Pollino & Serena Chen

**iCAM, The Fenner School of Environment and Society
The Australian National University**



The talk by parts

1. Scenarios for the Region and challenges
2. Adaptation, risk and vulnerability **frameworks**
 - essence of the frameworks for the Mekong
3. The role of **modelling** versus **tools**
 - different types of integrated modelling: strengths and weaknesses
4. The role of **decision support systems**
5. Some examples
6. Lessons and key messages



Current Climate 'Change'

- Observed past & present trends in SE Asia
 - 0.1 to 0.3°C increase per decade (1951-2000)
 - Decline in number of rainy days (1961-1998)
 - Increase in hot days & warm nights (1961-1998)
 - Decrease in cold days & nights (1961-1998)
 - Increased occurrence of extreme rains (e.g. floods in Vietnam & Cambodia in 2000)
 - Droughts associated with ENSO years
- Glaciers in Tibetan Plateau (Mekong source) melting faster in recent years

(Cruz et al., 2007)

Projected Climate Change

- By end of 21st Century:
- Max monthly flow (compared with 1961-1990 levels)
 - Increase 35-41 % in Basin
 - Increase 16-19% in Delta
- Min monthly flow
 - Decline by 17-24 % in Basin
 - Decline by 26-29 % in Delta
- Increased flooding risks during wet season
- Increased water shortage in dry season
- 40 cm sea level rise (conservative scenario)

(Cruz et al., 2007)

Examples of possible impacts of projected CC

Possible changes:

- Flooding
- Sea level rise
- Marine saline intrusion
- Glacial melt
- Heat stress
- Decreased flows in dry season
- Warmer sea surface temperature
- Increased frequency & intensity of tropical storms
- Changes to flow regime

(Cruz et al. 2007)

Examples of possible impacts of projected CC

- **Impact on community**
 - Flood residence of millions of people on coastal & riparian fringe
 - Damage to aquaculture industry & infrastructure
 - Loss of farm land
 - Climate-related diseases
 - Loss of income for those dependent on fisheries etc
 - Changes to crop yields
 - Drinking water supply
- **Impact on natural resources**
 - Water quality
 - Reduce recruitment of some species
 - Mangrove loss
 - Breeding & migration cycles/triggers of fish
 - Readjustments of floodplain veg
 - Weed species

(Penny 2006; Cruz et al. 2007)

Tonlé Sap - values

- **Largest freshwater lake in SE Asia**
- **Almost half Cambodia's pop benefit directly/indirectly from Lake's resources**
- **World's largest freshwater fishery**
- **Rich biodiversity**
 - Ecological hot spot – UNESCO biosphere
 - Floodplain – feeding, breeding, recruitment site
- **Fish migrations from lake → restock Mekong**
- **> 80% sediment received from Mekong stored in Lake & its floodplain**
- **Flow reversal**
 - Dry months – water flows out of Lake back into Mekong
 - Natural reservoir for Lower Mekong Basin
 - Flood protection
 - Assures dry season flow to Delta
 - Controls salinity intrusion

(Kummu et al., 2006)



Tonlé Sap – current threats

- Overexploitation of fisheries & wildlife resources
- Deforestation
- Agricultural expansion
- Industrial & urban pollution
- Upstream dams
- Habitat fragmentation
- Introduction of non-native species
- Mining

(ADB, 2004)



Vietnamese Mekong Delta

- **Values**

- 3.9 mill ha of Delta in Vietnam (of 6 mill ha total)
- 14 mill people living in VMD
- In 2000 – rice production 78 % land use

- **Threats**

- 60 % soils – acid sulphate & saline soils
- Upstream abstractions
- 1.7 mill ha by salt water intrusion
- ~ 1 mill ha affected by tidal flooding
- Sept – Oct prone to large flooding
 - ~30% VMD flooded at depths of 0.5-4.0m
 - Inundation can last 2-6 months
- Droughts
- Deforestation - <10 % forest cover
- Agricultural expansion

(Wassmann et al. 2004; Penny 2006)



Ongoing changes/threats in the Mekong

- **Increasing economic development**
 - increased demand for water & energy
 - hydropower, irrigated agriculture, industry, inter-catchment diversions
- **Overexploitation & degradation of land & water resources**
 - Overfishing
 - Deforestation
 - Pollution
 - Poor farming practices
- **Floods, droughts**
- **Population growth**

(MRC 2005; ADB 2004)



CC Challenges in the Mekong

- **Ongoing changes:**
 - Socio-economic change
 - Environmental change
 - Land use change
 - Technological advancement
- **Interactive effects of CC & other anthropogenic stressors**
- **Dependency of millions of people on natural resources**
- **Poverty** – major barrier to developing capacity to cope & adapt
- **Insufficient knowledge on:**
 - Impacts of CC
 - Responses of natural systems
- **Limited biophysical & socio-economic data**
- **Large natural climate variability**

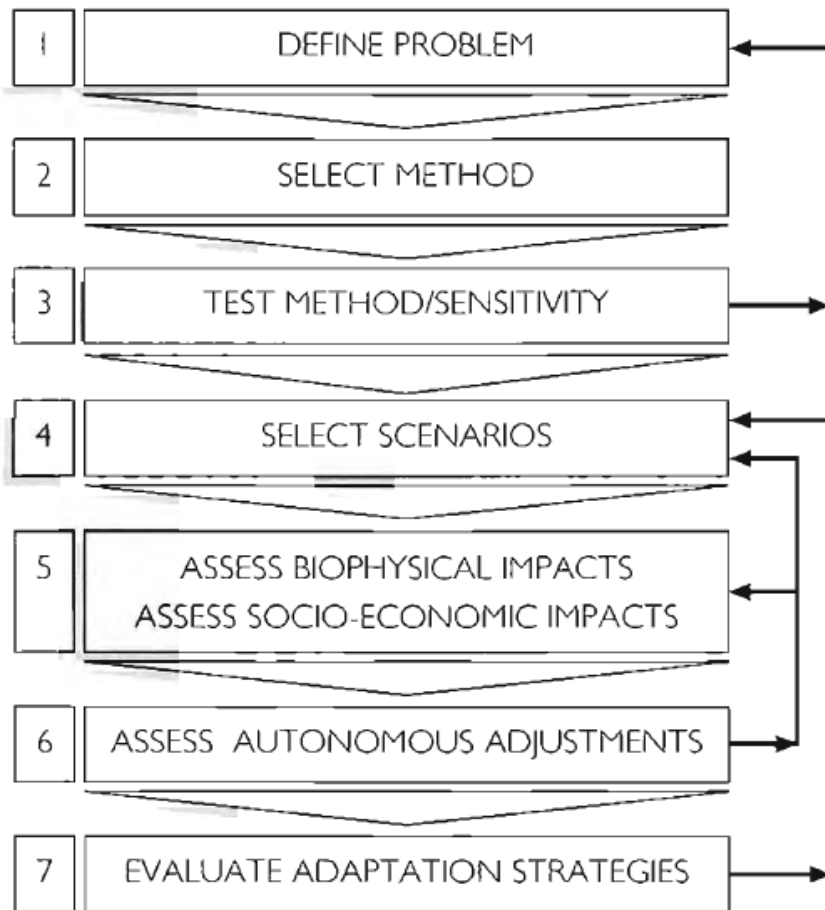
(Cruz et al. 2007)

Part 2: A short list of frameworks

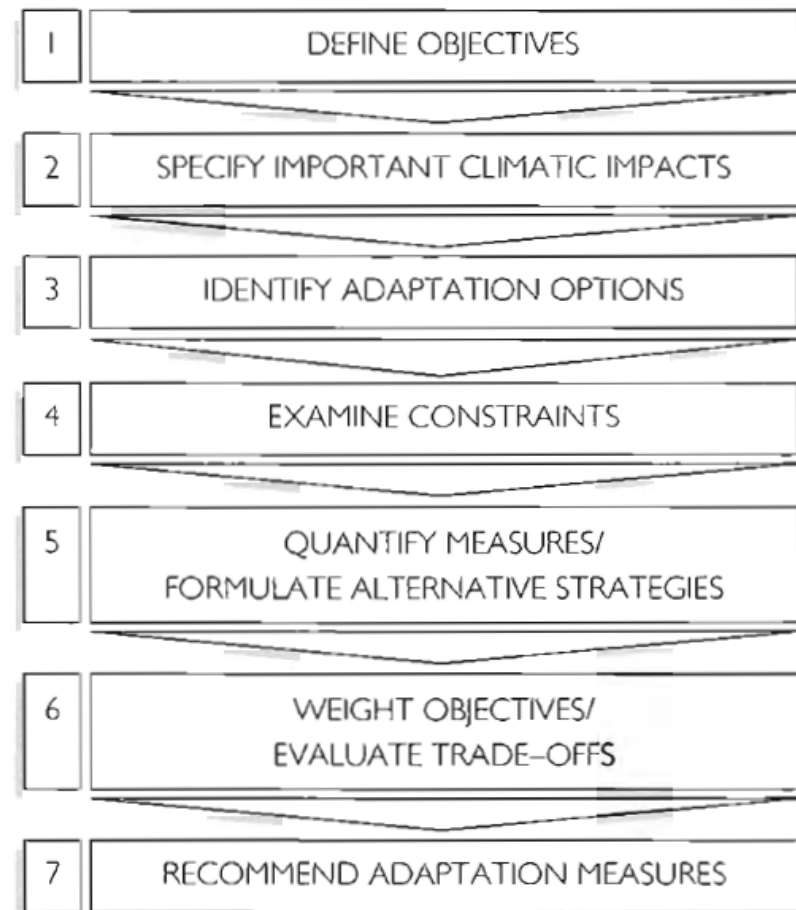
- IPCC Technical Guidelines
- ICLIPS (Potsdam)
- UKCIP (UK Climate Impacts Programme)
- UNDP-GEF Adaptation Policy Framework
- Risk assessment/management frameworks
 - generic – e.g. AS/NZS 4360 Risk Management,
 - for climate change – e.g. Jones 2001

IPCC Technical Guidelines

7-step Framework for Assessment

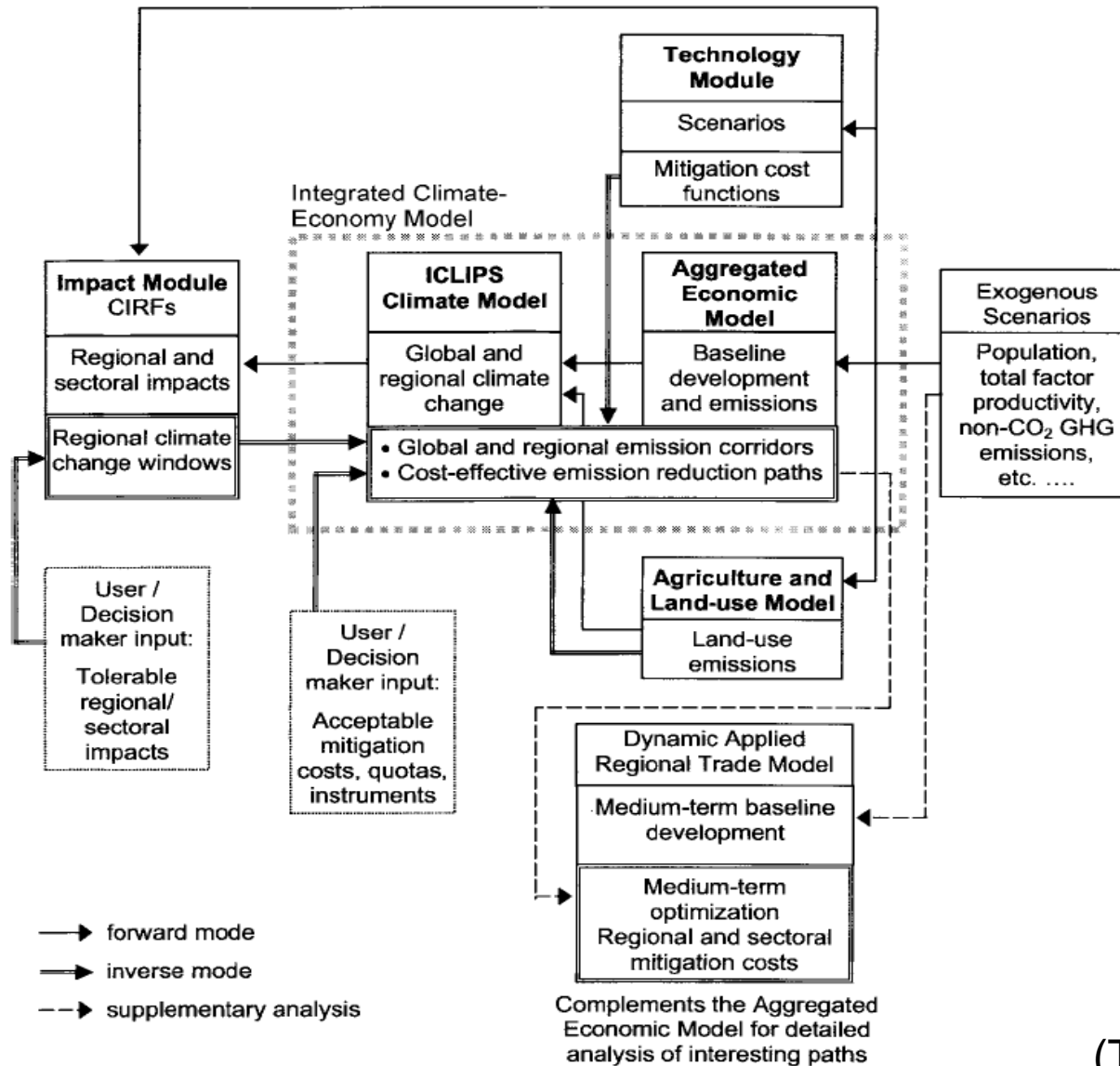


Evaluation of Adaptation Strategy



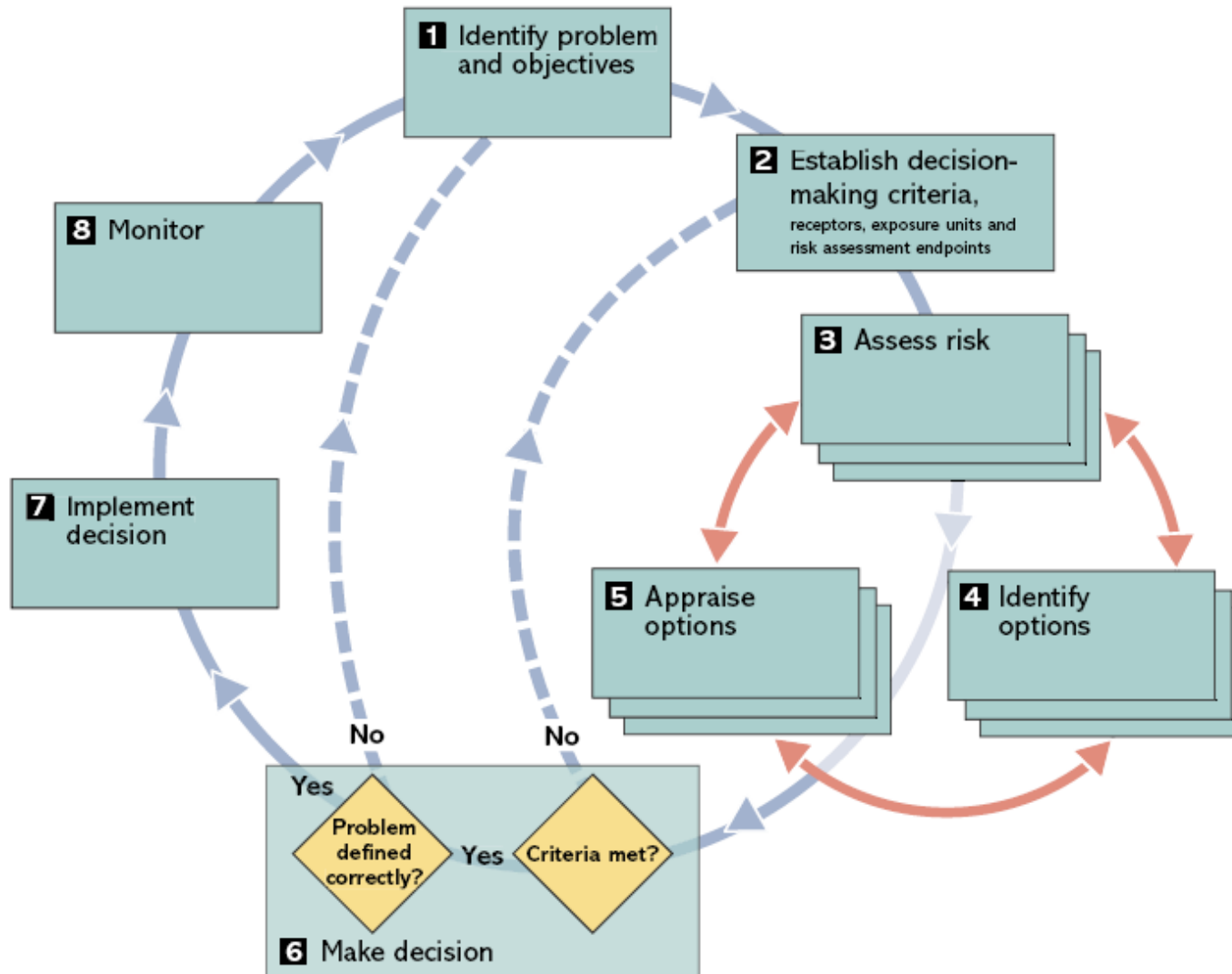
(Carter et al. 1994)

ICLIPS Integrated Assessment Framework



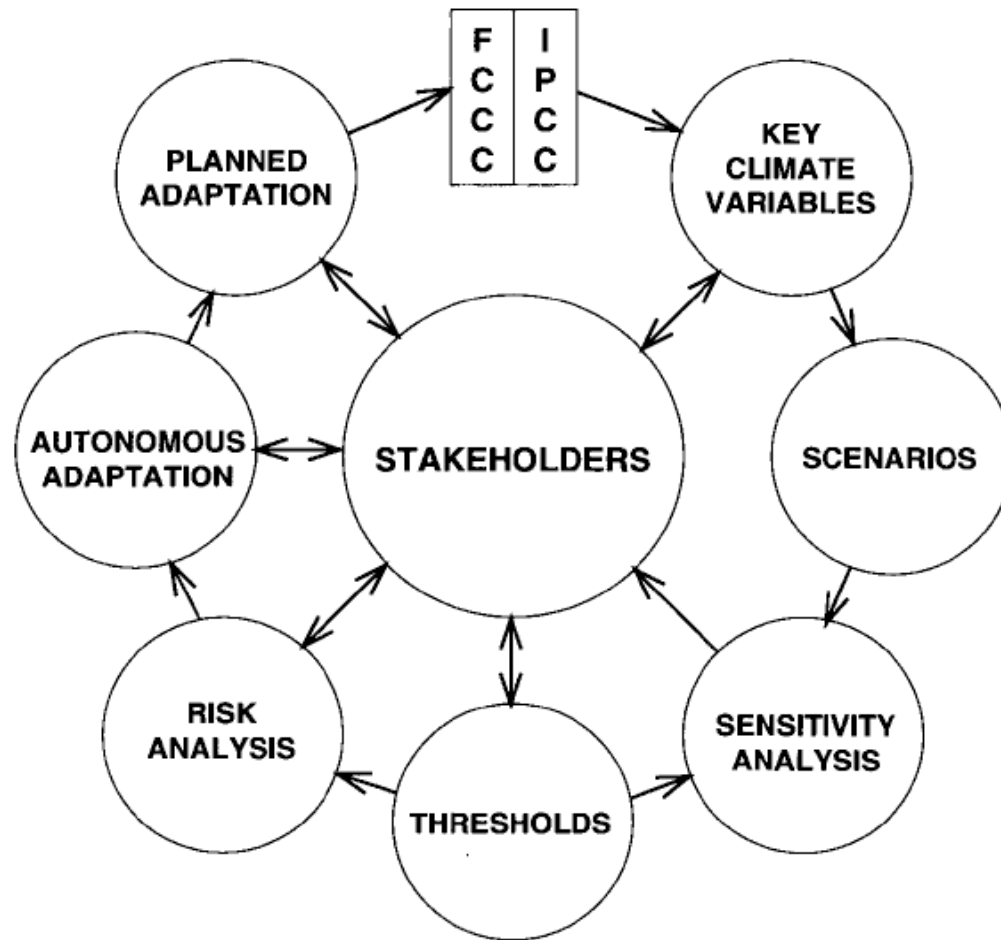
(Toth et al. 2003)

UKCIP Decision-Making Framework



(Willows & Connell, 2003)

Risk assessment/management framework



(Jones, 2001)

Climate Change Impact Assessment and Adaptation: some key components for the Mekong

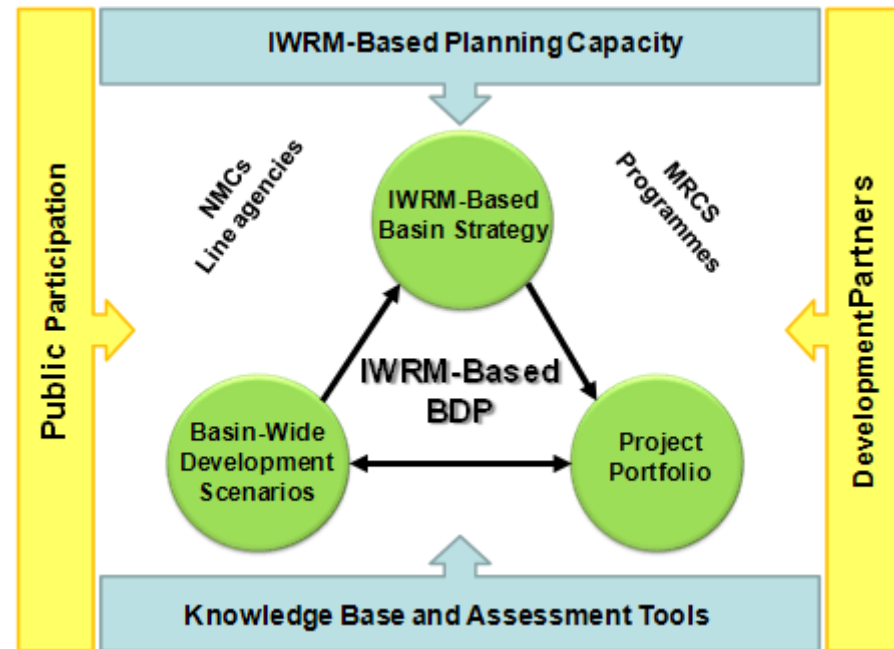
- No single approach to assessing, planning & implementation
 - ✓ eg top-down and bottom-up risk and vulnerability identification
- An iterative, adaptive framework & 'models' to identify and integrate knowledge types, recognising, reducing and communicating uncertainty
 - ✓ integrate qualitative and quantitative information
- Build on current plans and strategies re sustainable development
 - ✓ utilise low regret options, non-climatic risk factors, current climate risks
- Active engagement & sustaining partnerships; implementation incentives; investment in projects and people
- Cost-effective, equitable, politically realistic options

Climate Change in the Mekong

- Climate Adaptation Strategy: Building on existing processes in the Basin

– IWRM: Basin Development Plan

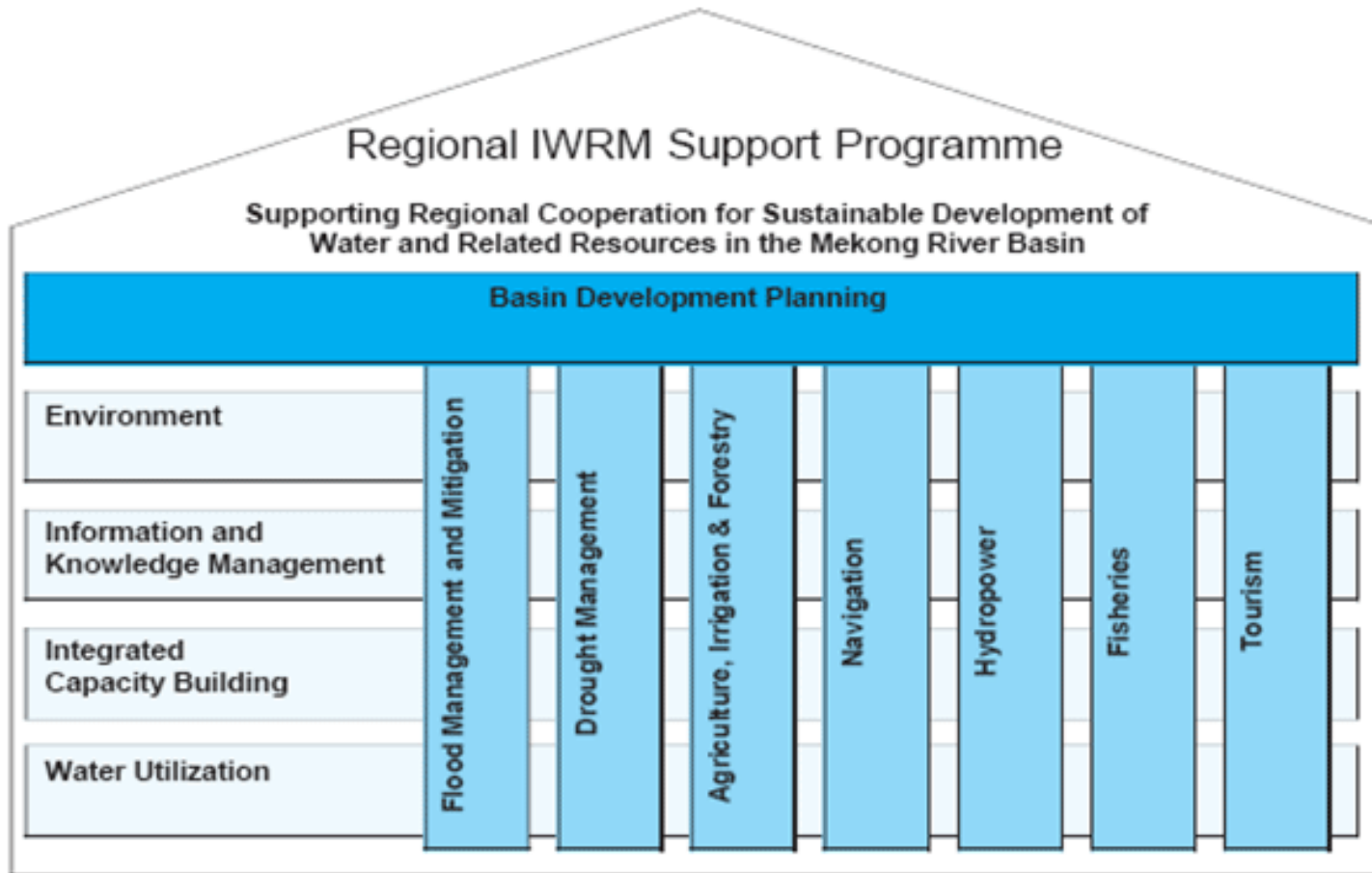
- *Development scenarios*
- *An IWRM-based Basin Strategy*
- *A project portfolio of structural (investment) projects and supporting non-structural projects*



g3

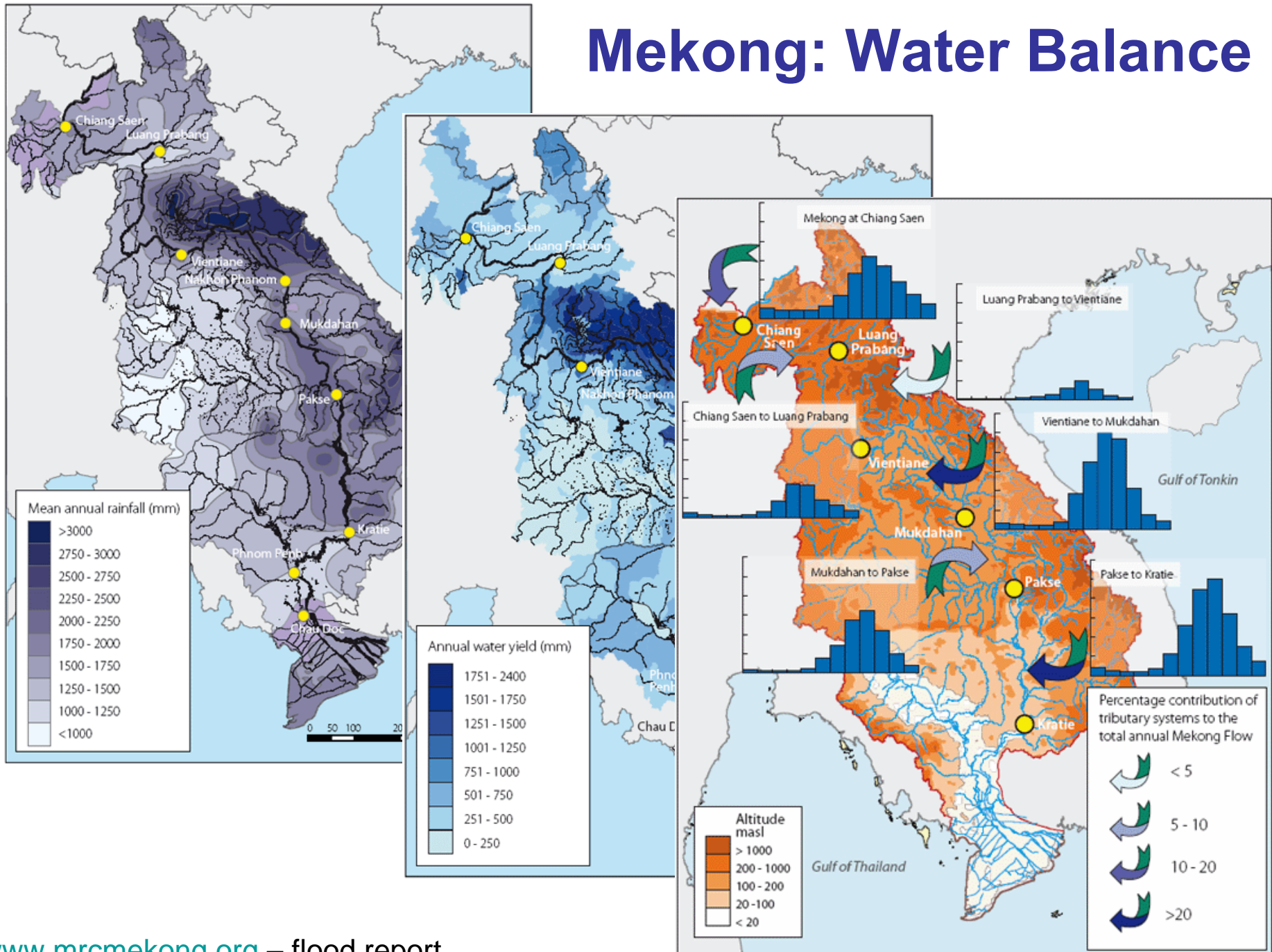
last para italics
Scenarios to scenarios
glendinn, 11/25/2008

MRC: Basin Development Plan



Programmes supporting IWRM across the Lower Mekong Basin.

Mekong: Water Balance



But what are the Adaptation options?

- Engineering options
- Traditional local strategies
- Social responses
 - resettlement
- Land use planning
 - zoning, development controls
- Economic instruments
 - subsidies, tax incentives
- Natural systems management
 - rehabilitation, enhancement
- Sector-specific adaptation practices eg in agriculture

(Carew-Reid, 2009)

What else does a robust climate change assessment entail?

- ‘State of the art’ models
 - Climate, hydrology, ecology, agriculture, human health, demography, social, economic.... and **INTEGRATION** of models
 - address uncertainties in: climate predictions; knowledge of and variability in system responses; and the coupling of different models



Part 3: Integrated Modelling (simplified)

Assumptions/
Alternatives

- Climate
- Shocks
- Demography
- Policy drivers
- Adaptation options
- External drivers



Environmental
System

'Sustainability'
indicators

- Economic
- Social
- Environmental

Assess tradeoffs
to balance and
compare
alternatives

Integrated Modelling Approaches

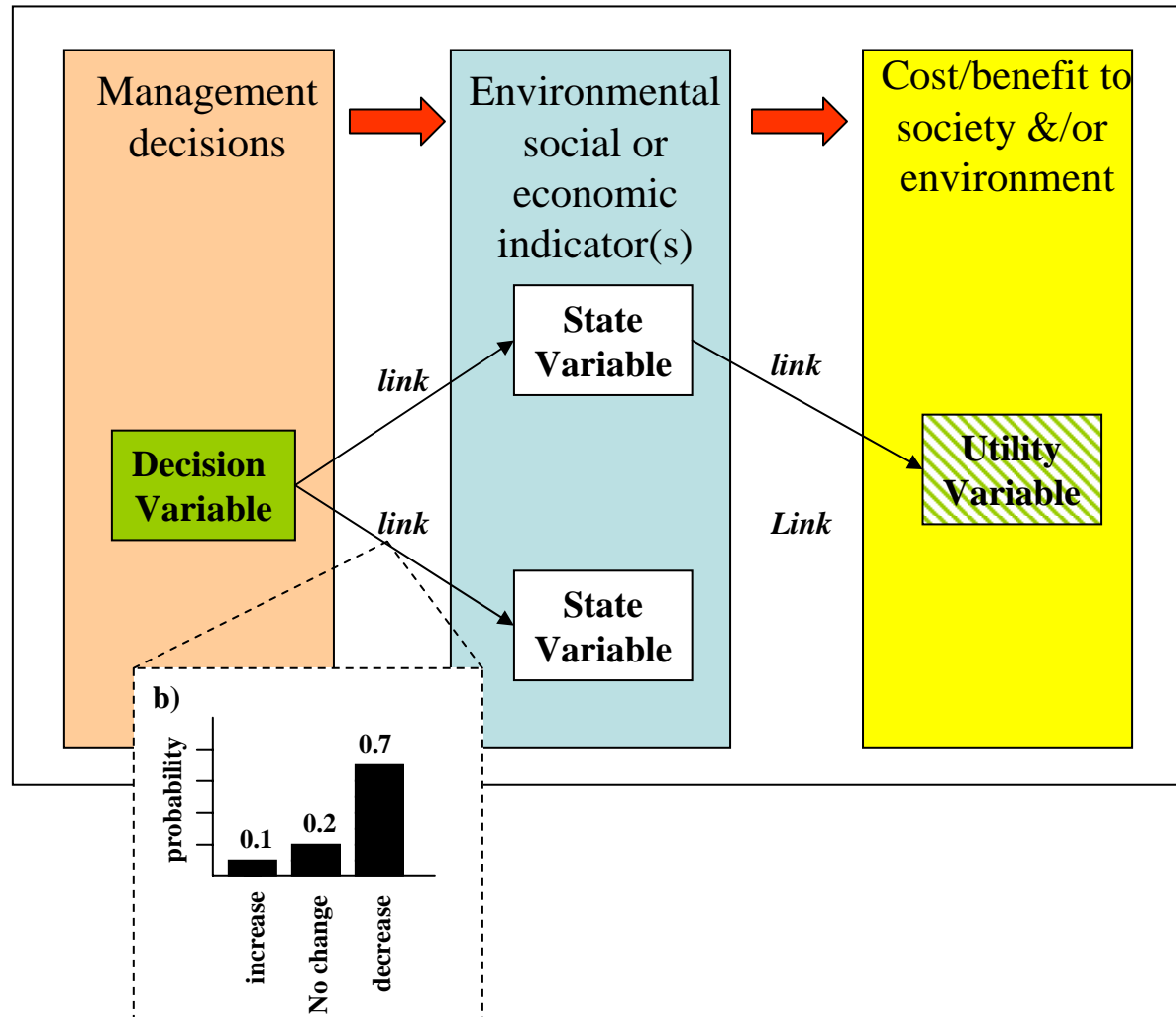
The main types of integrated models with different strengths and weaknesses in particular situations:

- **Systems dynamics**
- **Bayesian networks**
- **Coupling complex models**
- **Agent-based models**
- **Hybrid expert systems**

Bayesian Networks

- **A fundamental adaptive modelling tool for decision-making and management where key considerations are:**
 - ✓ wide-scale issue and knowledge integration
 - ✓ knowledge is of varying quality and type
 - ✓ system knowledge and data can be updated
- **Uses conditional probabilities as a common basis to link cause and effect – ie to determine likelihood of different outcomes**
- **Conditional probabilities derived from:**
 - many (1000's) of runs of component models
 - expert elicitation
 - stakeholder surveys
 - observed data – categoric and numeric
- **Excellent availability of technical/analytic tools**

Bayesian Decision Networks: linking nodes or variables



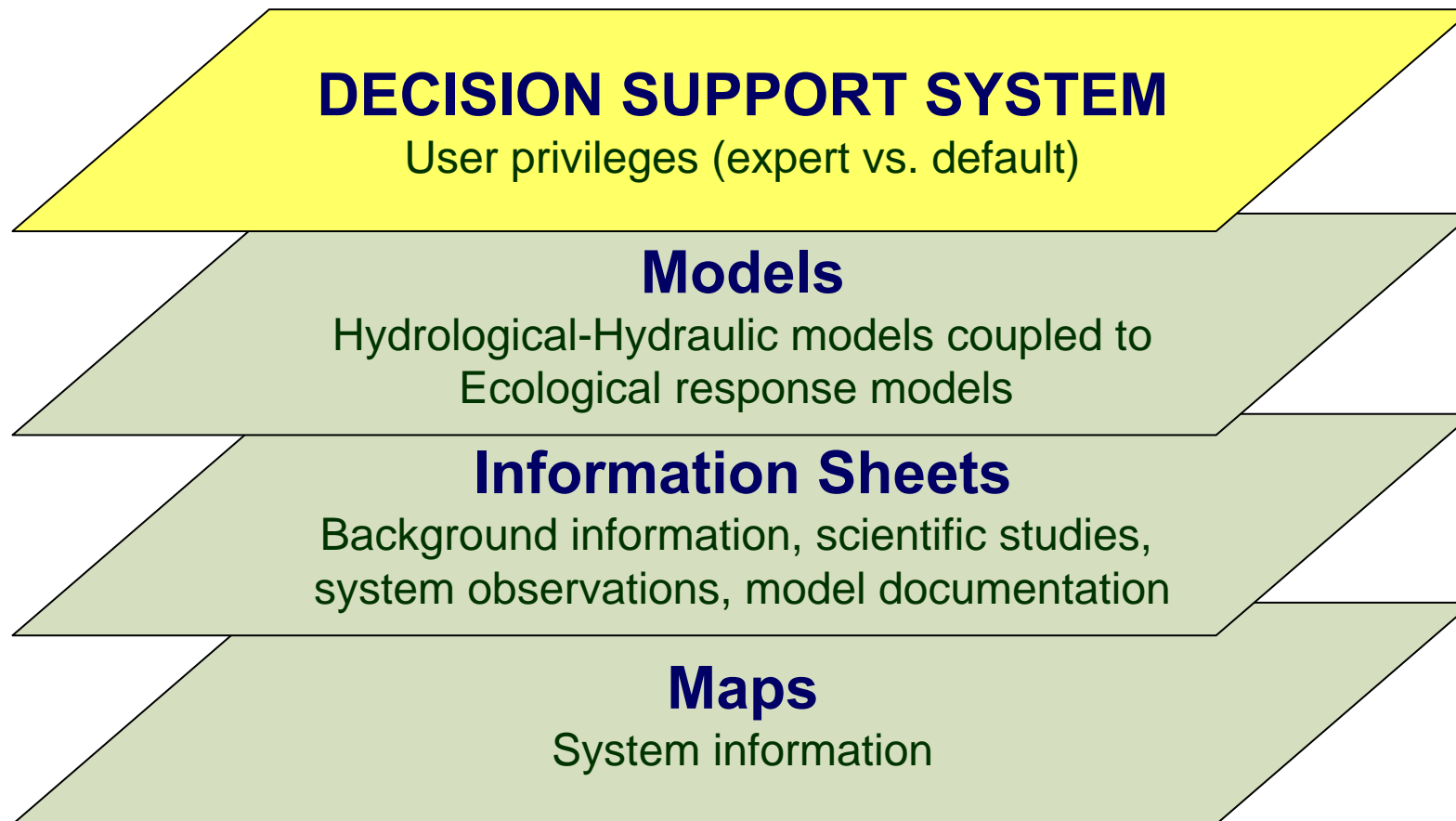
Step	Tasks involved	Tools
<i>1. Identify objectives</i>	<ul style="list-style-type: none"> •Identify issues, concerns •Build consensus on the problem(s) to be addressed 	<ul style="list-style-type: none"> •Participatory methods
<i>2. Problem framing</i>	<ul style="list-style-type: none"> •Understanding the problem(s) •Define boundaries/scope 	<ul style="list-style-type: none"> •Exploratory analysis •Visualisation tools (e.g. conceptual models, mind maps) •Participatory methods
<i>3. Identify performance measures</i>	<ul style="list-style-type: none"> •Identify criteria to be used to compare and evaluate alternatives •Gather value judgments 	<ul style="list-style-type: none"> •Participatory methods
<i>4. Identify alternatives</i>	<ul style="list-style-type: none"> •Identify potential management options based on objectives 	<ul style="list-style-type: none"> •Participatory methods •Scenario tools
<i>5. Evaluate alternatives</i>	<ul style="list-style-type: none"> •Evaluate each alternative based on how it is predicted to affect the performance measures •Explore tradeoffs •Narrow options 	<ul style="list-style-type: none"> •Predictive/Simulation models (e.g. disciplinary tools) •Integrated models (e.g. Bayesian networks, coupled component models, system dynamics, hybrid expert systems) •Expert elicitation •Optimisation tools (e.g. heuristic search methods, optimisation models, pareto-optimal tradeoff curves) •Decision trees
<i>6. Rank/select final alternative</i>	<ul style="list-style-type: none"> •Compare and rank different outcomes •Select satisficing option 	<ul style="list-style-type: none"> •Multi-criteria analysis •Cost-benefit analysis •Bayesian decision models •Participatory methods

Part 4: DSS

- **Modern Decision Support Systems**
 - Adaptive; suitable for investigating structured & semi-structured problems
 - Interactive and easy to understand
 - Quantitative & qualitative (hybrid), credible, good evidence-base for decision makers
 - Facilitate integration
 - Transparent and well-documented
- **DSSs can be used for engagement in**
 - **Aligning** tools with stakeholder & user **needs**
 - **Investigating scenarios, priorities** and strategies that are robust to uncertainties
 - **Sharing likely outcomes** of climate change scenarios
 - **Identifying research needs** to better understand climate change and its outcomes

Packaging it all up into a DSS

- Decision Support Systems for Climate Change
 - More than just a set of models



Building on the existing DSS?

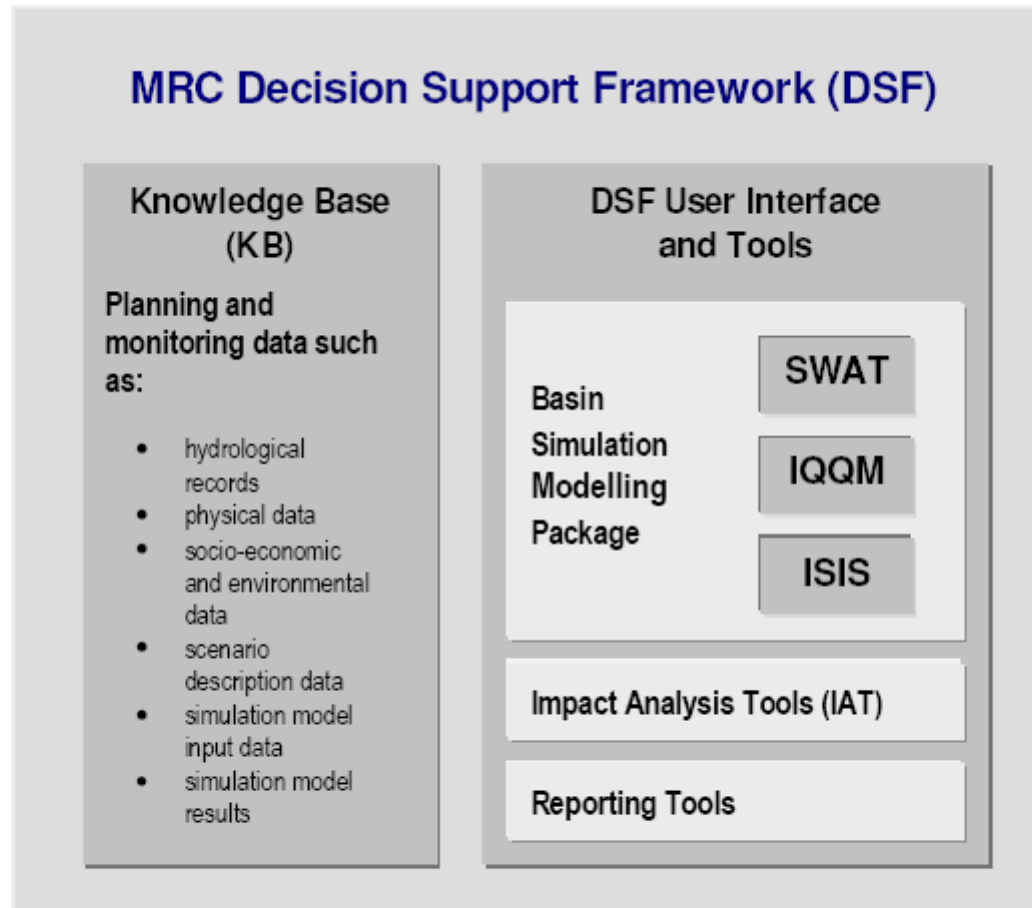


Figure 2-1. MRC Decision Support Framework

Part 5: Two examples of integrated modelling & DSS relevant to the Mekong

1. **EXCLAIM:** EXploring CLimAte Impacts on Management

- Configuration of Bayesian Network models with hydrological time series inputs

2. **IBIS:** Wetland Decision Support Systems

- Configuration of Bayesian Network, empirical and rule-based models integrated with hydrology and hydraulic models

ICMSBuilder - C:\ICMS\Builder\Projects\centralwestver4.icm - [EXCLAIM dss]

File Edit Run View Tools Window Help

Welcome | Info | System views | Model framework | Scenarios | System response indicators | Reporting | Links and acknowledgements

Exclaim background





Software system description

Contact details

Licence

exclaim

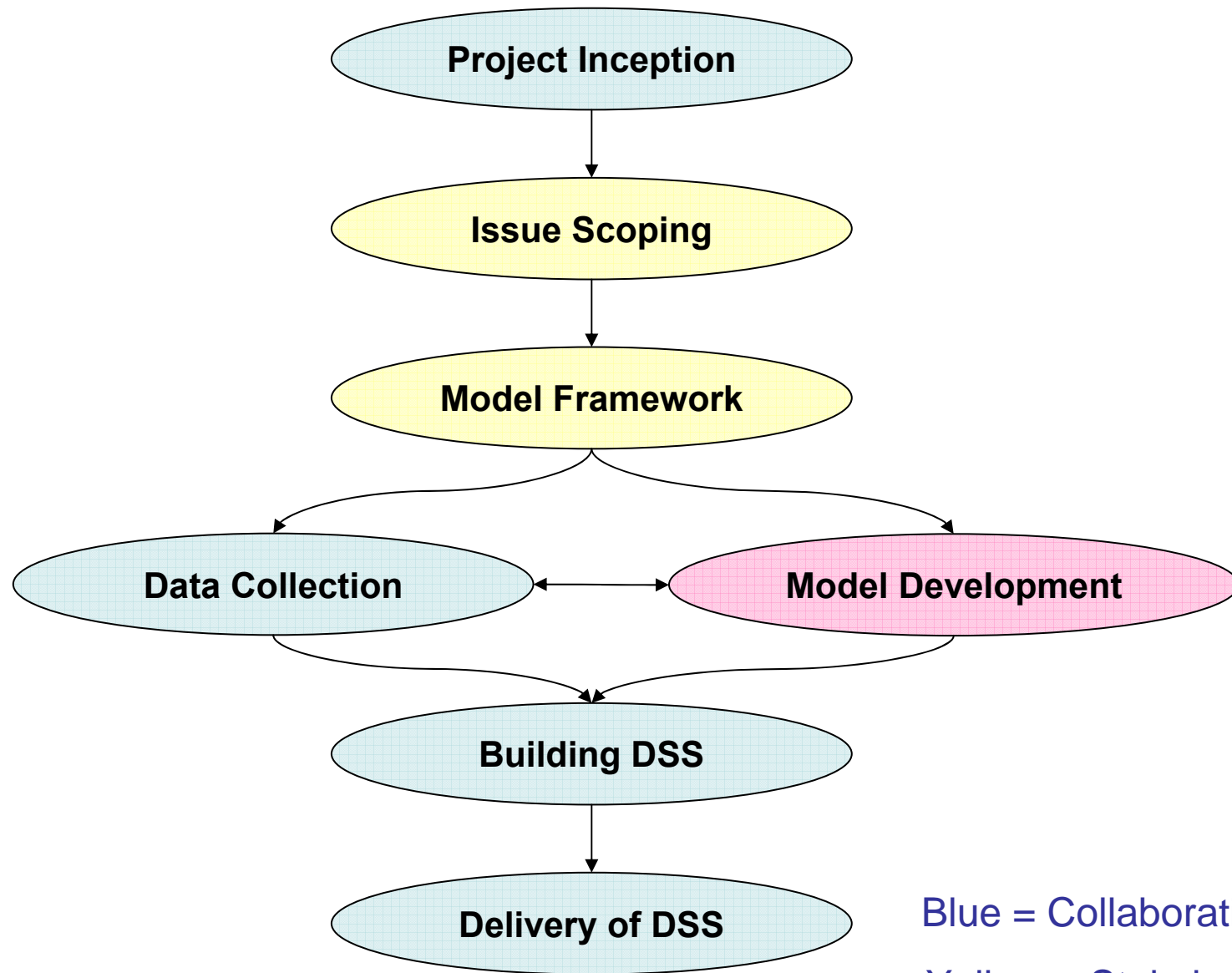
Exploring climate impacts on management



Help

- **Water flows**
 - Irrigation and environmental needs
 - ‘High’ security requirements
- **Water quality**
 - Salinity
 - Nutrients
- **River and wetland ‘health’**
 - Ecological indicators
 - Algae, Vegetation, Birds, Fish



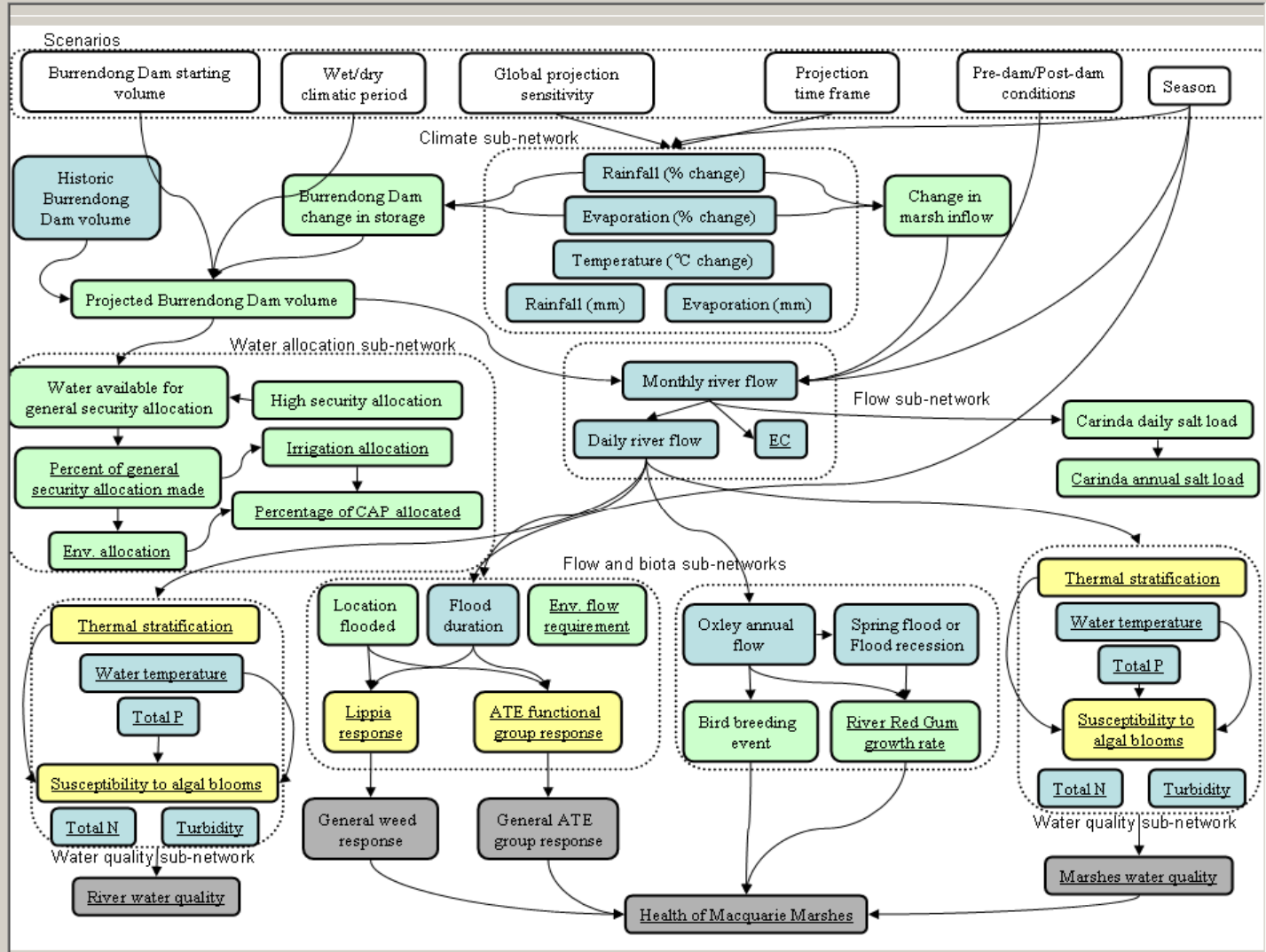


Blue = Collaboration with agency

Yellow = Stakeholder workshops

Pink = Desk-based activity

- Active pathways only
- CAP targets



	Spatial sub-network/model components
	Empirical relationship derived from literature or data
	Based on historical data or multiple model outputs
	Qualitative relationship from literature
	Qualitative assumptions
	Based on or related to CAP targets



dry/wet climatic period

dry

wet

Starting Dam volume

100%

75%

50%

25%

Predam/postdam conditions

Pre-dam

Post-dam

Modelling global sensitivity

low

moderate

high

Climate projection time frame

current

2015

2070

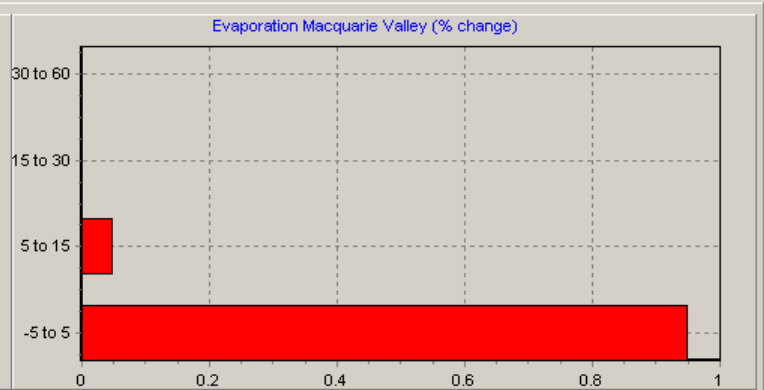
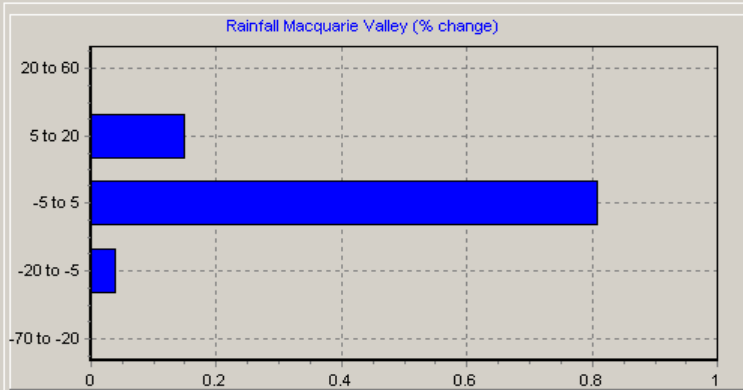
Season

Summer

Autumn

Winter

Spring



SCENARIO

Note: Climate Projection time frame
This represents a user defined scenario and allows comparisons between current climate, short and longer term projections.

Possible scenarios:

1. Current (1990 climate)
2. 2015
3. 2070

SCENARIO RATIONALE

Description: This node gives scenario choices of current climate and projected climate in 2015 and 2070. Scenarios chosen to give climate change projections for 2015 (length of the CAP process (Central West Catchment Management Authority 2005)), 2070 (long term projections) and a baseline for comparisons (current climate, taken as 1990 climate).
Current climate is the 1990 baseline climate used in OzClim version 2.0.1 (Page and Jones 2001) for the defined study area, as long term monthly averages from 1961. Climate projections for the study area are monthly OzClim projections at 2015 and 2070. Climate scenarios chosen are consistent with the most recent climate projections for the central west (Hennessy et al. 2004; Jones and Page 2001; Jones et al. 2002). Three of the SRES marker scenarios as described by the International Panel on Climate Change (IPCC 2000) are used to inform the prototype DSS. The SRES marker scenarios are based on global carbon emissions resulting from plausible global economic, social and environmental conditions projected into the future, and each scenario results in global projections of temperature change. The three marker scenarios chosen for the DSS include the lowest, mid-range, and highest global projections of temperature change for 2015 and 2070 to give the broadest range of plausible climate change scenarios and are representative of their selected scenario families (Table 1). These are marker scenarios A1B, B1 and A1T for 2015 and A1B, B1 and A1F for 2070. Each of these global temperature changes are regionalised to the Central West by forcing through the full range of available global and regional climate models, via the Australian Climate Scenario Generator, OzClim v 2.0.1. (Page and Jones 2001).

Table 1. SRES marker scenarios chosen for modelling

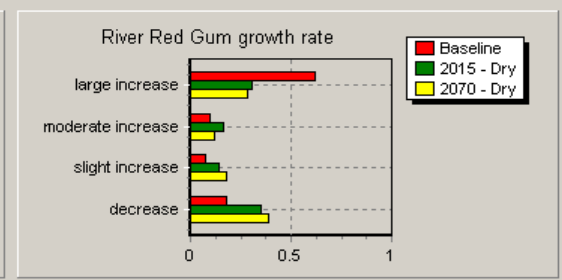
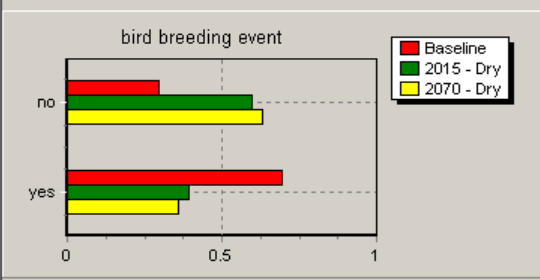
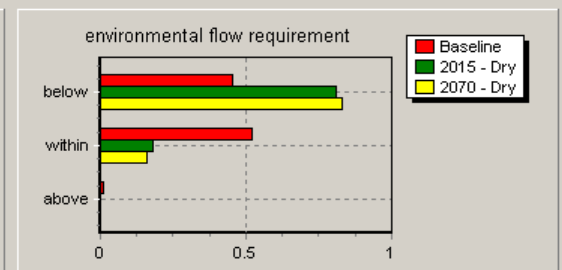
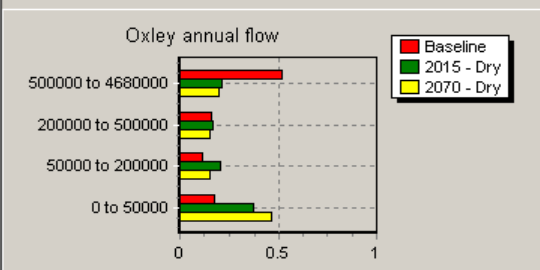
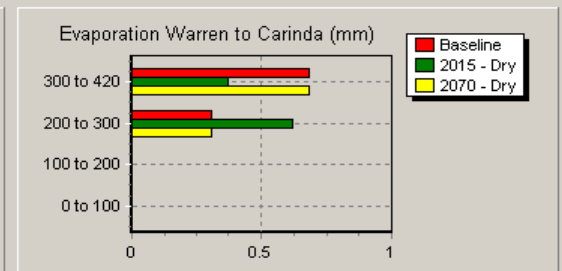
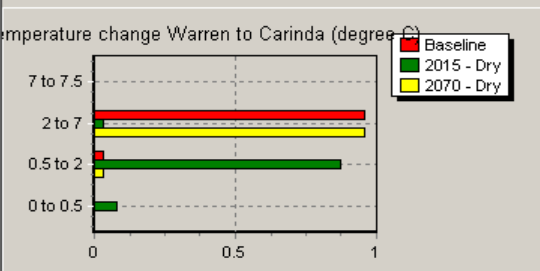
SRES marker scenario	Temperature increase (°C) ¹		Scenario Storyline
	2015	2070	
A1B	0.30 to 0.50	1.68 to 2.87	The A1 scenario family describes a future with very rapid economic growth, combined with the rapid development and introduction of new and efficient technologies. Population peaks in mid-century and declines after. The three a1 scenarios are separated based on their predominant use of energy sources. A1F = fossil intensive energy sources. A1T = non-fossil energy sources. A1B = balance across different energy sources. ²
A1T	0.42 to 0.66	Not modeled	
A1F	Not modeled	2.30 to 3.77	
B1	0.33 to 0.55	1.17 to 2.08	The B1 scenario family describes a world with the

Store runs



Outputs

- ATE plant group response: Southern Nature Reser
- Location flooded: Third Crossing Lagoon
- Location flooded: Buckingham Lagoon
- Location flooded: Southern Nature Reserve
- Marshes water quality
- Susceptibility to algal blooms at Oxley
- Oxley total N
- Oxley turbidity
- Oxley total P
- Oxley water temp
- Oxley thermal stratification
- Salinity
- CAP targets
 - River Red Gum growth rate
 - environmental flow requirement
 - Marsh health
 - Total Lippia response
 - Total ATE functional group response
 - Lippia: Third Crossing Lagoon
 - Lippia: Buckingham Lagoon
 - Lippia: Southern Nature Reserve
 - ATE plant group response: Third Crossing Lagoon
 - ATE plant group response: Buckingham Lagoon
 - ATE plant group response: Southern Nature Reser
 - Marshes water quality
 - River water quality
 - Susceptibility to algal blooms at Oxley
 - Oxley total N
 - Oxley turbidity
 - Oxley total P
 - Oxley water temp
 - Oxley thermal stratification
 - Susceptibility to algal blooms at Warren
 - Warren total N
 - Warren turbidity
 - Warren total P
 - Warren water temp
 - Warren thermal stratification
 - Carinda annual salt load
 - CarindaEC
 - Percentage of CAP allocated
 - Environmental allocation
 - Irrigation allocation
 - Percent of general security allocation made

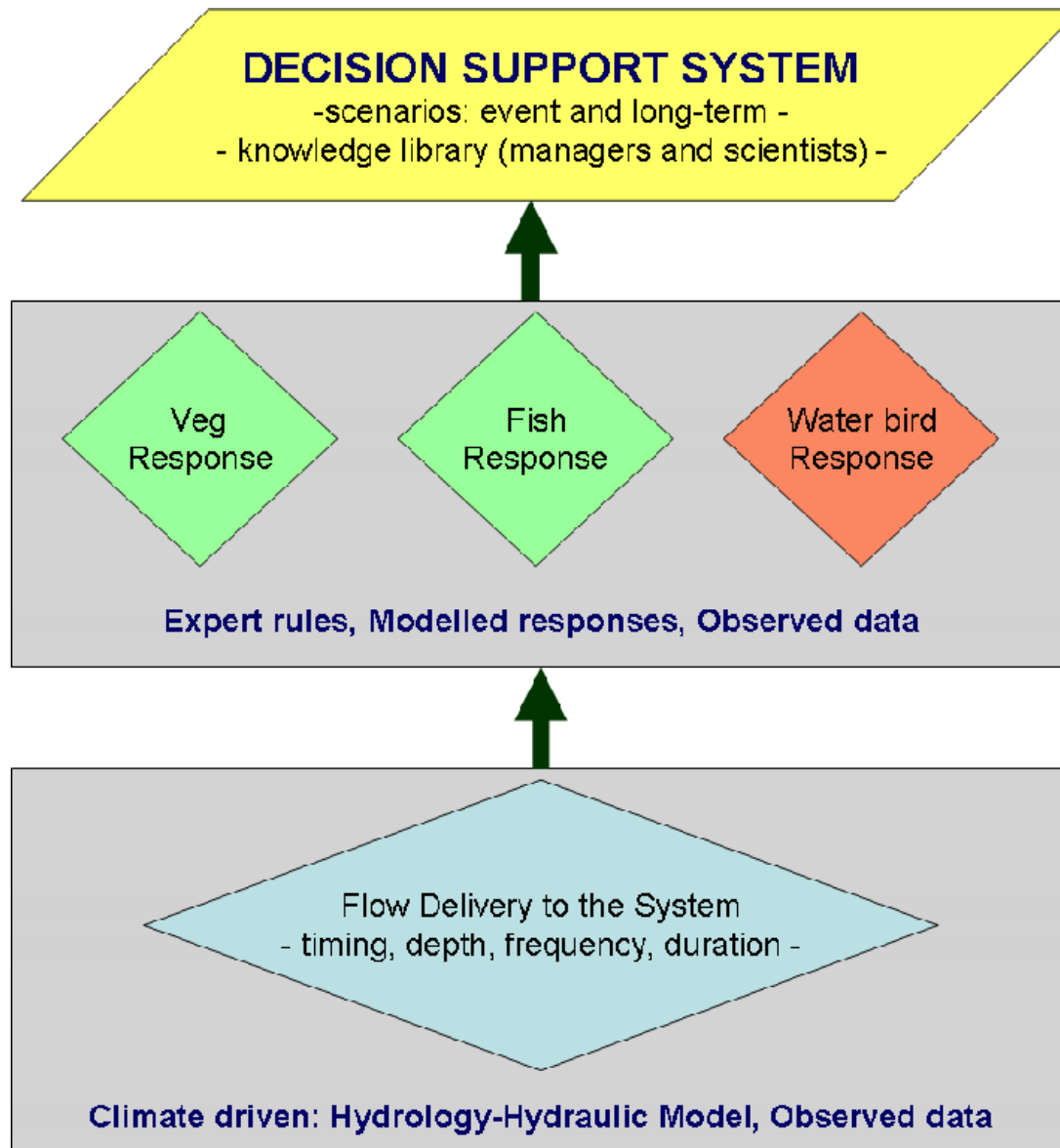


Deselect all Show stored results

view scenario parameters

Help

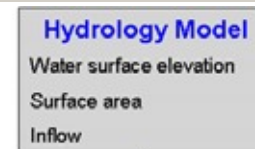
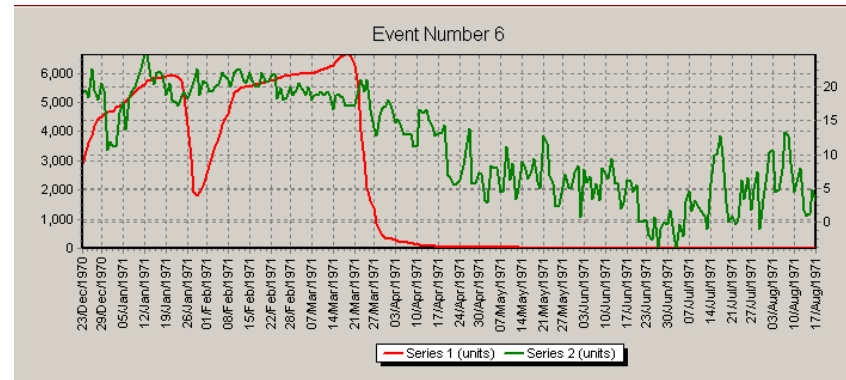
IBIS Component Models



- User-friendly interface overlies the models and provides access to supporting information, model documentation and model results
- Designed to support environmental flow decision-making (short and long term)

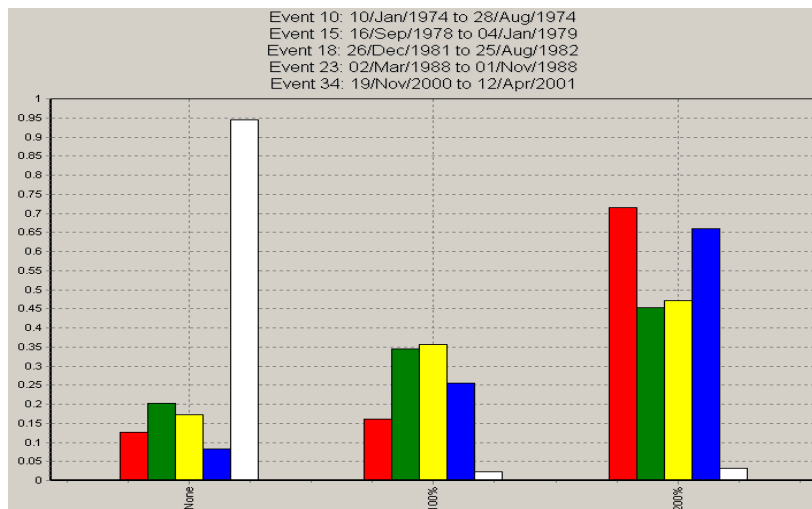
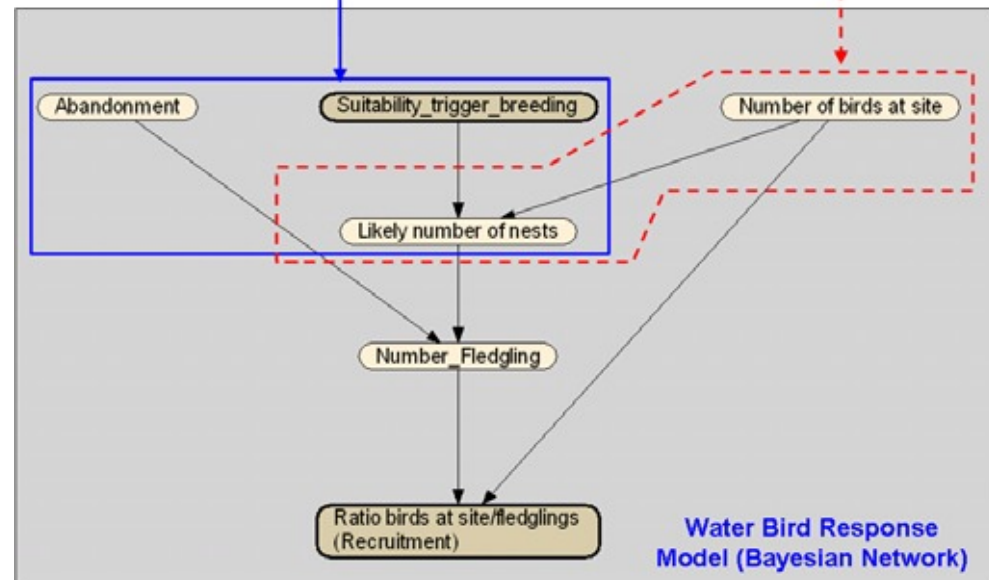
Integrated Model Structure

- Continuous daily hydrology model
- Characteristics of 'event' passed through discrete probabilistic response models
- *For each event*. the likely success of an outcome



Summary of event conditions

Observed data (regional)



Part 6: Our Lessons

- **Project process:**
 - Builds relationships between institutions, researchers and stakeholders
 - Builds capacity, understanding, promotes systems thinking, leads to innovative ideas for change
- **Participation needs to be flexible and a feature of the entire project cycle**
 - Time, resources and effort are required to engage stakeholders
 - Goals of this need to be clearly defined
- **'Products' (DSS plus...) need to be adaptive, iterative and promote discovery of new knowledge**

Key messages for Assessment

- Manage and communicate uncertainty - complexity, knowledge & data gaps; incorporate qualitative knowledge
- Utilise current programs and methods effectively
- Consider climatic and non-climatic risks jointly
- Prioritise options under resource constraints, balancing tradeoffs
- Look for robust solutions in the face of uncertainties
- Document, monitor and review: adaptive to incorporate new information
- Engage for transparency, accountability, legitimacy and adoption

Implies an adaptive but systematic process: explicit frameworks, eclectic modelling & decision support in a learning setting



Tony.Jakeman@anu.edu.au

Carmel.Pollino@anu.edu.au

Serena.Chen@anu.edu.au

icam.anu.edu.au

References

- Asian Development Bank, 2004. Tonle Sap Sustainable Livelihoods. Future Solutions Now – The Tonle Sap Initiative. December 2004. www.adb.org/Projects/Tonle_Sap/
- Carter, T.R., Parry, M.L., Harasawa, H., Nishioka, S., 1994. IPCC technical guidelines for assessing climate change impacts and adaptations. Part of the IPCC Special Report to the First Session of the Conference of the Parties to the UN Framework Convention on Climate Change, Department of Geography, University College London, London, UK.
- Cruz, R. V., H. Harasawa, M. Lal, S. Su, Y. Anokhin, B. Punsalmaa, Y. Honda, M. Jafari, C. Li and N. Huu Ninh, 2007. Asia. Climate Change 2007: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change. In: M. L. Parry, O. F. Canziani, J. P. Palutikof, P. J. van der Linden and C. E. Hanson, (Eds.) Climate Change 2007: Impacts, Adaptation and Vulnerability. Contribution of Working Group II to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change. M. L. Parry, O. F. Canziani, J. P. Palutikof, P. J. van der Linden and C. E. Hanson. Cambridge UK, Cambridge University Press: 469-506.
- Fussel, H.-M. and R. J. T. Klein, 2004. Conceptual frameworks of adaptation to climate change and their applicability to human health. PIK Report No. 91. Potsdam Institute for Climate Impact Research.
- IPCC, 2001. Mitigation – Contribution of Working Group III to the Third Assessment Report of the Intergovernmental Panel on Climate Change, B. Metz, O. Davidson, R. Swart, and J. Pan (eds) Cambridge University Press, Cambridge, UK.
- Jones, R. N., 2001. An environmental risk assessment/management framework for climate change impact assessments. *Natural Hazards* 23: 197-230.
- Kumm, M., J. Sarkkula, J. Koponen and J. Nikula, 2006. Ecosystem management of the Tonle Sap Lake: an integrated modelling approach. *International Journal of Water Resources Development* 22: 497-519.
- Mekong River Commission, 2005. Overview of the Hydrology of the Mekong Basin. Mekong River Commission.
- Penny, D., 2006. The Holocene history and development of the Tonle Sap, Cambodia. *Quaternary Science Reviews* 25(3-4): 310-322.
- Prathumratana, L., S. Sthiannopkao and K. W. Kim, 2008. The relationship of climatic and hydrological parameters to surface water quality in the lower Mekong River. *Environmental International* 34(6): 860-866.
- Toth, F. L., T. Bruckner, H.-M. Fussel, M. Leimbach and G. Petschel-Held, 2003. Integrated assessment of long-term climate policies: Part 1- Model presentation. *Climatic Change* 56: 37-56.
- Wassmann, R., N. X. Hien, C. T. Hoanh and T. P. Tuong, 2004. Sea level rise affecting the Vietnamese Mekong Delta: water elevation in the flood season and implications for rice production. *Climatic Change* 66: 89-107.
- Willows, R.I. and Connell, R.K. (eds), 2003. Climate adaptation: Risk, uncertainty and decision-making. UKCIP Technical Report. UKCIP, Oxford.

Climate Change problem involves..

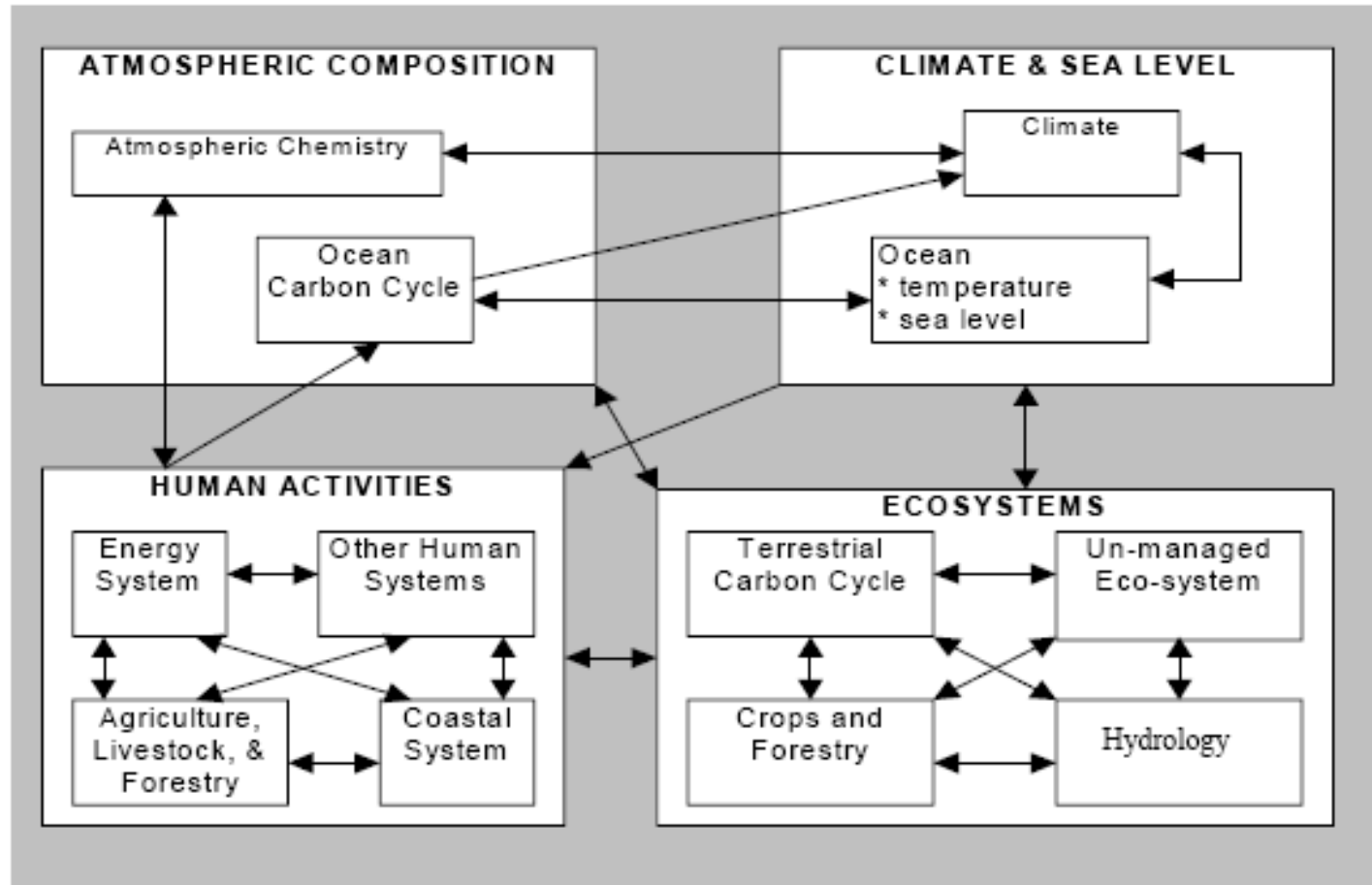
- Geophysical, biological, socioeconomic systems
- Multiple decision makers (inc. other sectors)
- Countless stakeholders
- Web of constraints – barriers to adaptation
- Numerous competing objectives
- Uncertainties about future changes to climate variables & system responses
- Identifying priorities inc vulnerable ‘communities’ and assets
- Passive/existing and transformational changes -policy, institutional and practice

Five preconditions to successful planned adaptation to CC

1. Availability of effective intervention measures
2. Availability of resources to implement these measures
3. Awareness of the problem
4. Information about these measures
5. Incentives for actually implementing these measures

(Fussel and Klein, 2004)

Integrated Assessment Models



Representation of a generalised IAM for climate change (IPCC 1996)